# Internet-based Provider Enrollment, Chain and Ownership System (PECOS)

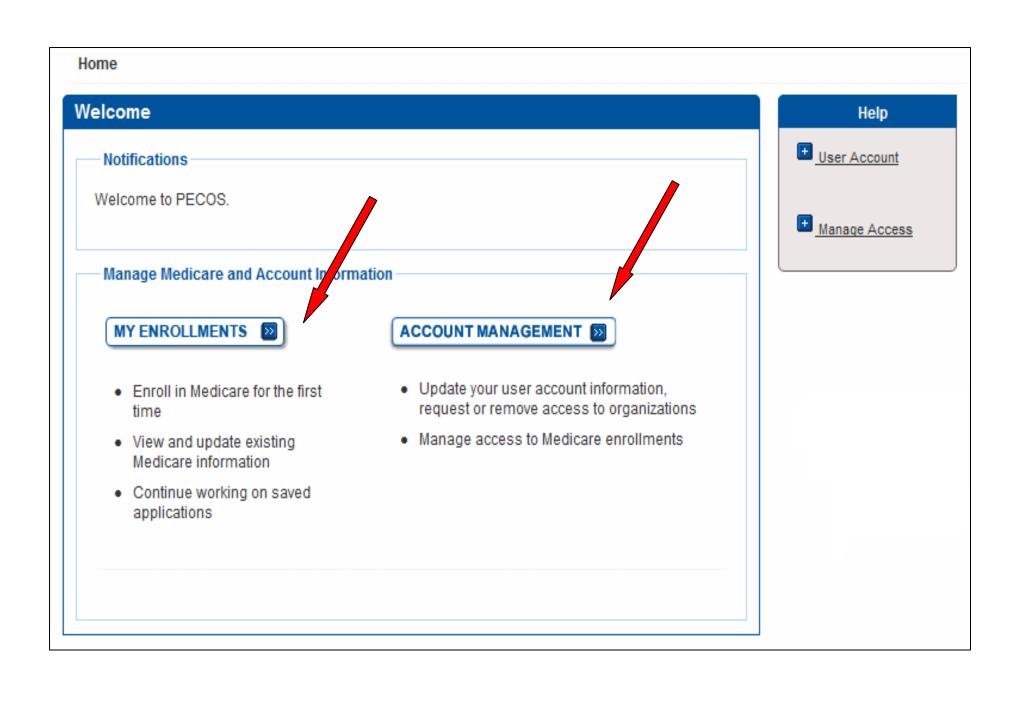
**Enrollment Example** 

## Disclaimer

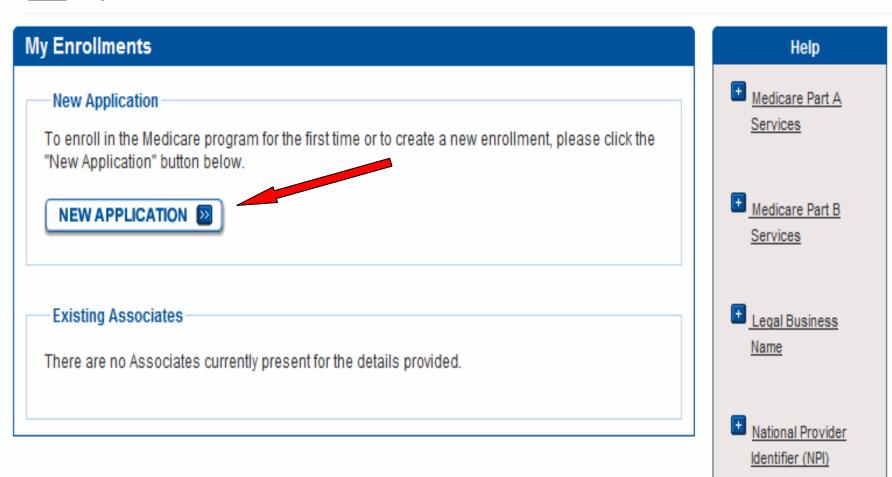
- The Centers for Medicare & Medicaid Services (CMS) is providing this material as an informational reference for physicians and non-physician practitioners providers.
- Although every reasonable effort has been made to assure the accuracy of the information within these pages at the time of posting, the Medicare program is constantly changing, and it is the responsibility of each physician, non-physician practitioner, supplier or provider to remain abreast of the Medicare program requirements.
- Medicare regulations can be found on the CMS Web site at <a href="http://www.cms.hhs.gov">http://www.cms.hhs.gov</a>.

# Before Getting Started

- Review the Internet-based PECOS "Getting Started Guide for physicians and non-physician practitioners" or "Getting Started Guide for Provider and Supplier Organizations."
- Since Internet-based PECOS is a scenario-driven process, you will only see the enrollment screens necessary to complete your initial enrollment or your change of information action. The information collected using the Internet-based PECOS enrollment process is the same as the information collected through the paper application submission process.
- The screens following this page are intended to show a typical initial enrollment application for a physician. It takes approximately 20 minutes to complete an enrollment application via Internet-based PECOS.



## Home > My Enrollments



## Home > My Enrollments > Application Questionnaire

## **Application Questionnaire**

(\*) Red asterisk indicates a required field.

#### **Applicant Description**

Please select the description that best matches the provider.\*

Sole Owner of a PA, PC or LLC

The applicant provides practitioner services through an incorporated business of which he/she is the only owner (the practitioner and business are legally distinct).



The applicant provides healthcare services from a facility that he/she owns/leases/rents (the practitioner and business are legally the same).

Group Member Only

The applicant provides healthcare services only as an employee of another provider.

Group Member and is Self-Employed

The applicant is self-employed and provides healthcare services as an employee of another provider.

#### Help

- Sole Owner
- Professional
  Corporation (PC)
- Professional
  Association (PA)
- Limited Liability
  Company (LLC)

■ PREVIOUS PAGE

NEXT PAGE [3]

# Home > My Enrollments > Application Questionnaire **Application Questionnaire** (\*) Red asterisk indicates a required field. Applicant Identification Information First Name\* John Last Name\* Doe Social Security Number (SSN)\* 123-45-6789 123-45-6789 Date of Birth\* mm/dd/yyyy 08/25/1962 ■ PREVIOUS PAGE NEXT PAGE [1]

# Home > My Enrollments > Application Questionnaire **Application Questionnaire** (\*) Red asterisk indicates a required field. State/Territory Where Healthcare Services Rendered Please select a single state/territory where the applicant renders healthcare services. State/Territory\* NEW YORK T PREVIOUS PAGE NEXT PAGE D ☑ CANCEL

# Home > My Enrollments > Application Questionnaire **Application Questionnaire** (\*) Red asterisk indicates a required field. **Primary Medicare Services Rendered** Please select the primary Medicare Services rendered by the applicant.\* Note: A separate application is required for each primary healthcare service rendered. Part B Physician Specialties\* **Internal Medicine** Part B Non-physician Specialties\* Select Non-Physician Specialty Part B Supplier Services\* Select Supplier Type Part A Provider Services\* Select Provider Type Undefined Type Specification\*

## Home > My Enrollments > Application Questionnaire

# **Application Questionnaire**

(\*) Red asterisk indicates a required field.

## Reassignment of Benefits

Is the applicant employed by a business or individual that will receive the practitioner's Medicare claims payments?\*

Yes

X No

■ PREVIOUS PAGE

NEXT PAGE [2]

#### Home > My Enrollments > Application Questionnaire

## **Confirm Reason for Application**

#### Medicare Part B Enrollment

Based on your responses, the following reason for application was identified.

 A Medicare Part B practitioner is enrolling in the Medicare program for the first time using their social security number (SSN). No reassignment of benefits exists with this application.

The application is for:

Name Social Security Number (SSN) Practitioner Specialty State

John Doe 123-45-6789 Internal Medicine New York

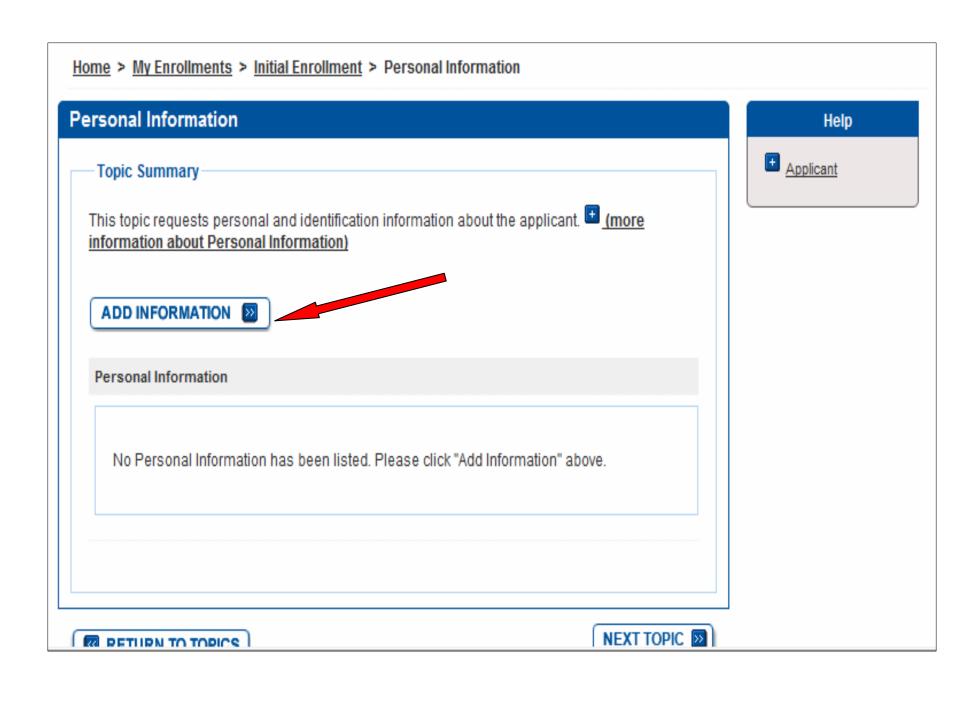
Clicking on the 'Start Application' button will create a Medicare application using the above information.

At the conclusion of this process:

- The application is submitted to the appropriate Medicare fee-for-service contractor(s) for processing
- . The practitioner must sign a statement certifying the submitted information
- The certification statement, additional required signatures, and required attachments must be mailed to the identified fee-for-service contractor(s)

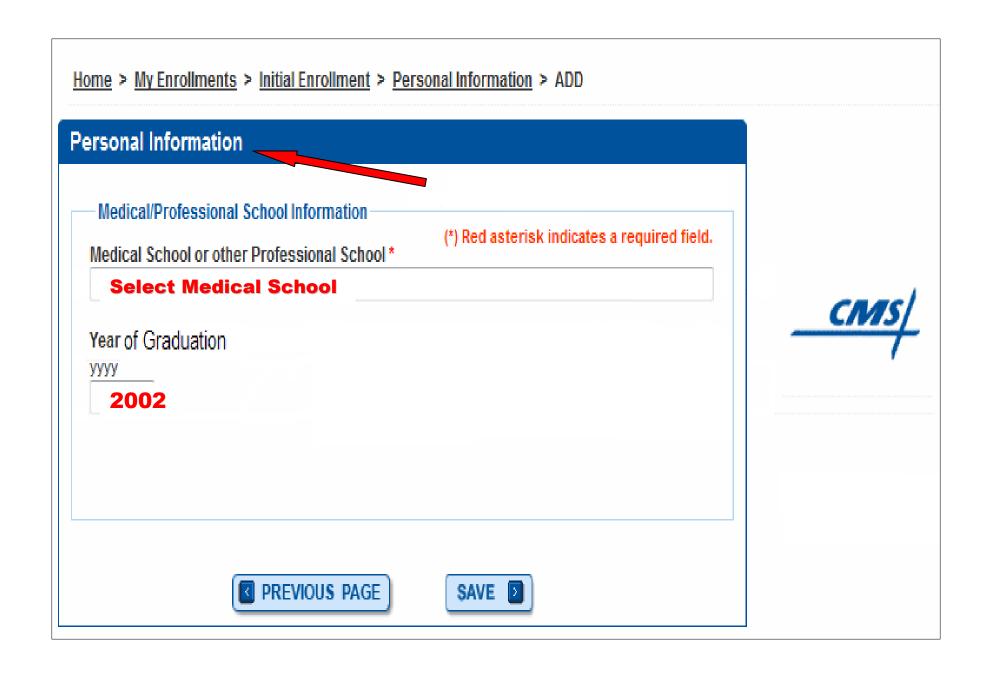
Help

- Reassignment
- Practitioner Specialty
- Fee-for-Service
  Contractor
- Certification
  Statement



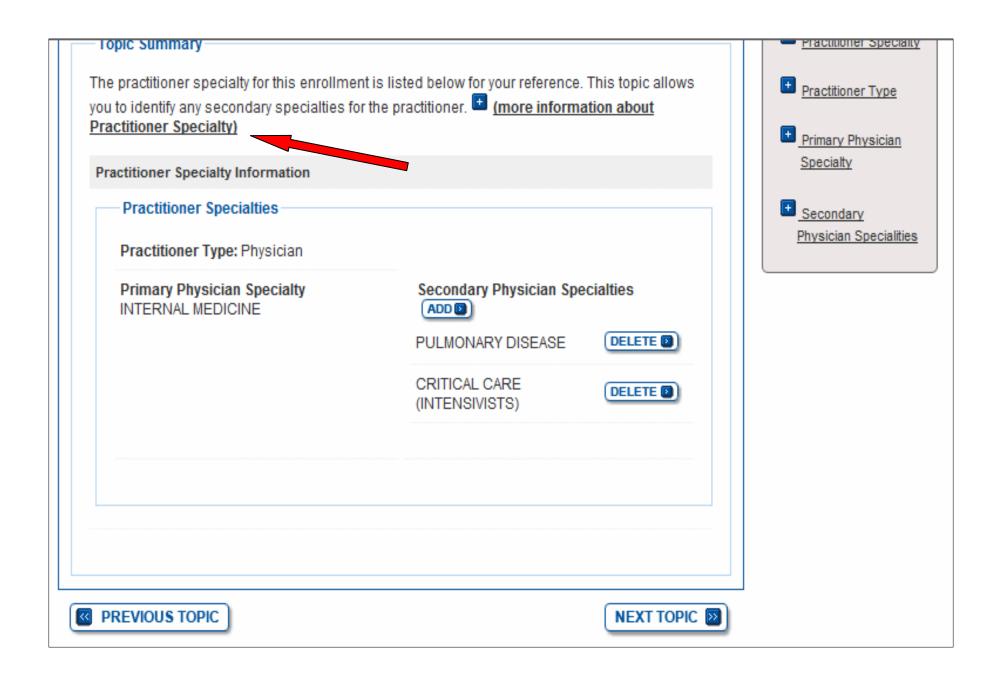
## Home > My Enrollments > Initial Enrollment > Personal Information > ADD Personal Information Help (\*) Red asterisk indicates a required field. Other Name Other Name for the Applicant (Individual) Does the applicant have any other name to supply?(e.g. former or maiden name, professional name, etc.)\* Yes No Type of Other Name \* Select Type Other Type of Name Other First Name \* Other Middle Name Other Last Name \* Other Name Suffix Select Suffix Other Credentials (M.D., D.O., etc.)

# <u>Home</u> > <u>My Enrollments</u> > <u>Initial Enrollment</u> > <u>Personal Information</u> > ADD **Personal Information** (\*) Red asterisk indicates a required field. Birth Information Country of Birth\* United States SELECT State of Birth\* Select State/Territory PREVIOUS PAGE NEXT PAGE [1]



## Home > My Enrollments > Initial Enrollment > Personal Information

# **Personal Information** Help Applicant Topic Summary This topic requests personal and identification information about the applicant. • (more information about Personal Information) Personal Information XXXXXXXXXXXXXXXXXXXXXXX Date of Birth: 08/25/1962 Social Security Number: 123-45-6789 Gender: Male Drug Enforcement Agency (DEA) Number: XXXXXXXXX Country of Birth: United States State of Birth: NY Medical School or other Professional School: Year of Graduation: XXXX EDIT 🖸



## Home > My Enrollments > Initial Enrollment > License and Certification Information > ADD





### Home > My Enrollments > Initial Enrollment > Resident/Fellow Status

## Residency/Fellowship Status

(\*) Red asterisk indicates a required field.

## Topic Summary

The topic requests information about the applicant's residency or fellowship status. (more information about Residency/Fellow Status)

Is the applicant currently in an approved training program as either a resident or a fellow?\*

Yes

X No

ADD INFORMATION [23]

Residency/Fellowship Status Information

No Residency or Fellowship Status has been listed. Please answer the question above.

Help

Residency

Fellowship

## Home > My Enrollments > Initial Enrollment > PAR Status

## **PAR Status**

## Topic Summary

This topic requests information to determine if the applicant agrees to accept assignment for all covered services provided to Medicare patients. 

(more information about PAR Status)

#### PAR Status Information

Does the applicant agree to accept assignment for all covered services provided to Medicare patients? \*

- X Yes
- O No

### PAR Status Information

No PAR Status Information has been listed. Please select the answer to the above question.

## Help

- PAR Status
- Fee-for-Service
  Contractor

## <u>Home > My Enrollments > Initial Enrollment > Correspondence Address</u>

## **Correspondence Address**

### **Topic Summary**

This topic requests information about the correspondence address for the applicant.

(more information about Correspondence Address)

**Note:** Do not use the contact information of a billing agency, staffing company, or managing organization as the contact information.

## Correspondence Address Information

Address: XX XXXXX XXX

XXXXX XXXXX XX 12345-6789

United States

Telephone: (XXX) XXX-XXXX

Fax: (XXX) XXX-XXXX







#### Home > My Enrollments > Initial Enrollment > Adverse Legal Actions

## **Adverse Legal Actions**

Topic Summary -

(\*) Red asterisk indicates a required field.

The topic requests information about adverse legal actions imposed against the applicant. 
(more information about Adverse Legal Actions)

Has an adverse legal action ever been imposed against an applicant under any current or former name or business entity? \*

- Yes
- No

#### Adverse Legal Actions That Must be Reported

#### Convictions

- Any felony conviction under Federal or State law, regardless of whether it was health care related.
- Any misdemeanor conviction, under Federal or State law, related to: (a) the delivery of an item or service under Medicare or a State health care program, or (b) the abuse or neglect of a patient in connection with the delivery of a health care item or service.
- Any misdemeanor conviction, under Federal or State law, related to theft, fraud, embezzlement, breach of fiduciary duty, or other financial misconduct in connection with the delivery of a health care item or service.
- Any misdemeanor conviction, under Federal or State law, relating to the interference with or obstruction of any investigation into any criminal offense described in 42 C.F.R. Section 1001.101 or 1001.201.
- Any misdemeanor conviction, under Federal or State law, relating to the unlawful manufacture, distribution, prescription, or dispensing of a controlled substance.

#### Help

- Adverse Legal
  Action
- Revocation
- Federal
  Non-Procurement
  Program
- Federal Procurement
  Program

- Any misdemeanor conviction, under Federal or State law, related to theft, fraud, embezzlement, breach of fiduciary duty, or other financial misconduct in connection with the delivery of a health care item or service.
- Any misdemeanor conviction, under Federal or State law, relating to the interference with or obstruction of any investigation into any criminal offense described in 42 C.F.R. Section 1001.101 or 1001.201.
- Any misdemeanor conviction, under Federal or State law, relating to the unlawful manufacture, distribution, prescription, or dispensing of a controlled substance.

#### Exclusions, Revocations or Suspensions

- Any revocation or suspension of a license to provide health care by any State licensing authority. This includes the surrender of such a license while a formal disciplinary proceeding was pending before a State licensing authority.
- Any revocation or suspension of accreditation.
- Any suspension or exclusion from participation in, or any sanction imposed by, a
  Federal or State health care program, or any debarment from participation in any
  Federal Executive Branch procurement or non-procurement program.
- 4. Any current Medicare payment suspension under any Medicare billing number.

ADD INFORMATION [23]

Adverse Legal Actions Information

No adverse legal actions have been listed. Please answer the question above.

## <u>Home</u> > <u>My Enrollments</u> > <u>Initial Enrollment</u> > <u>Physical Location and "Special Payments" Address</u> > ADD

## Physical Location and "Special Payments" Address

(\*) Red asterisk indicates a required field.

## National Provider Identifier (NPI)

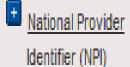
Please provide the National Provider Identifier (NPI) that applies to the individual. If a National Provider Identifier (NPI) has been issued for the individual, it must be identified for this application.

National Provider Identifier (NPI) \*

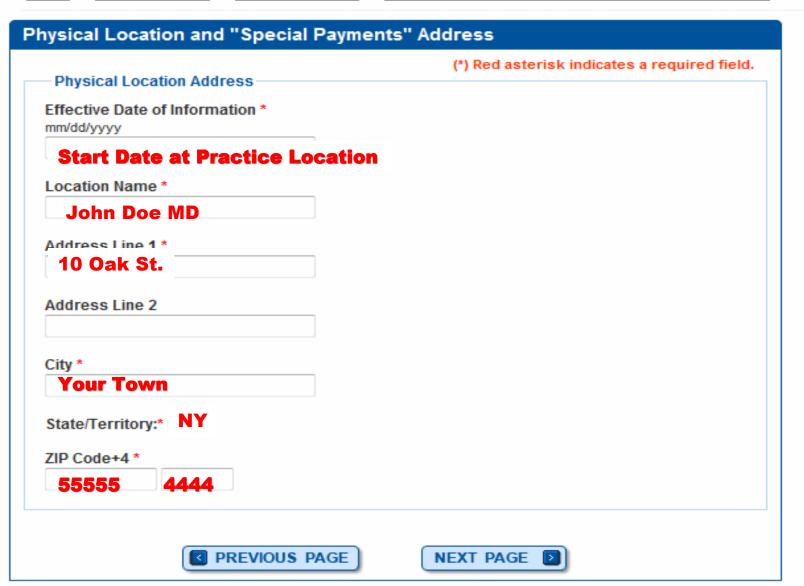
0123456789

NEXT PAGE 🛭

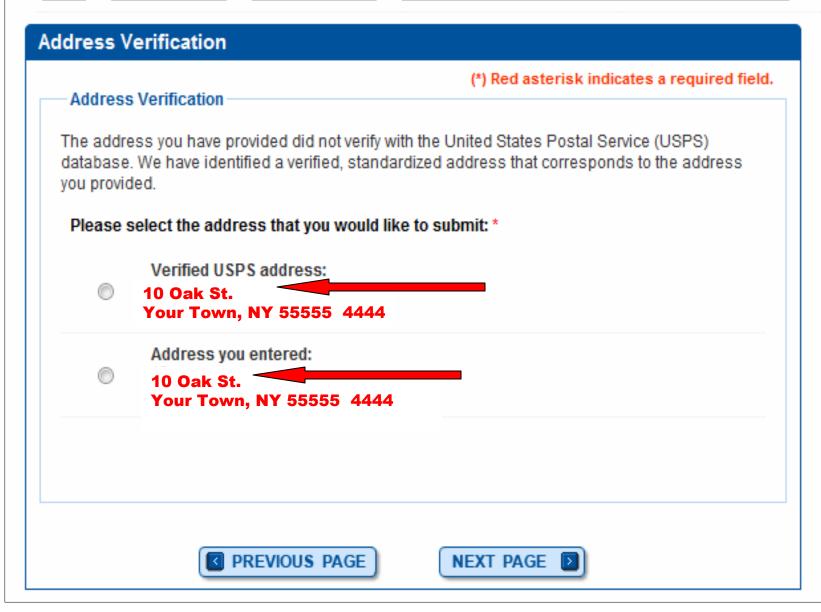
## Help



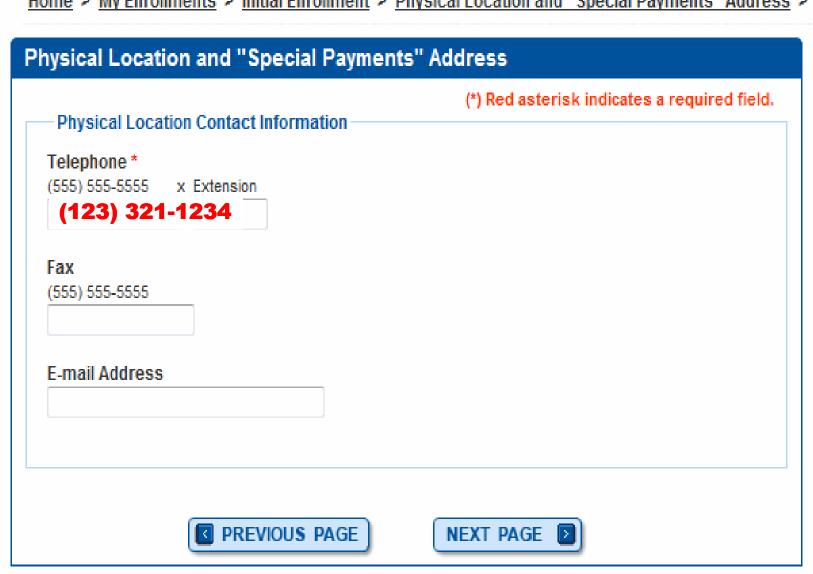
## <u>Home</u> > <u>My Enrollments</u> > <u>Initial Enrollment</u> > <u>Physical Location and "Special Payments" Address</u> > ADD



## Home > My Enrollments > Initial Enrollment > Physical Location and "Special Payments" Address > ADD



## <u>Home > My Enrollments > Initial Enrollment > Physical Location and "Special Payments" Address > ADD</u>



# Home > My Enrollments > Initial Enrollment > Physical Location and "Special Payments" Address > ADD Physical Location and "Special Payments" Address (\*) Red asterisk indicates a required field. You must resolve the following error(s) to continue The Telephone Number must be in the following format (555) 555-5555. Please re-enter the correct number. The Fax Number must be in the following format (555) 555-5555. Please re-enter the correct number. **Physical Location Contact Information** Telephone \* (555) 555-5555 x Extension (123) 321-1234 Fax (555) 555-5555 E-mail Address

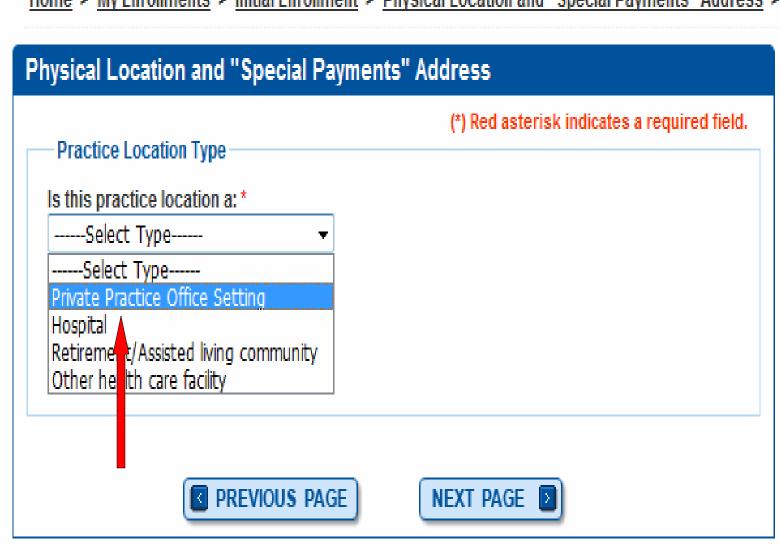
NEXT PAGE [3]

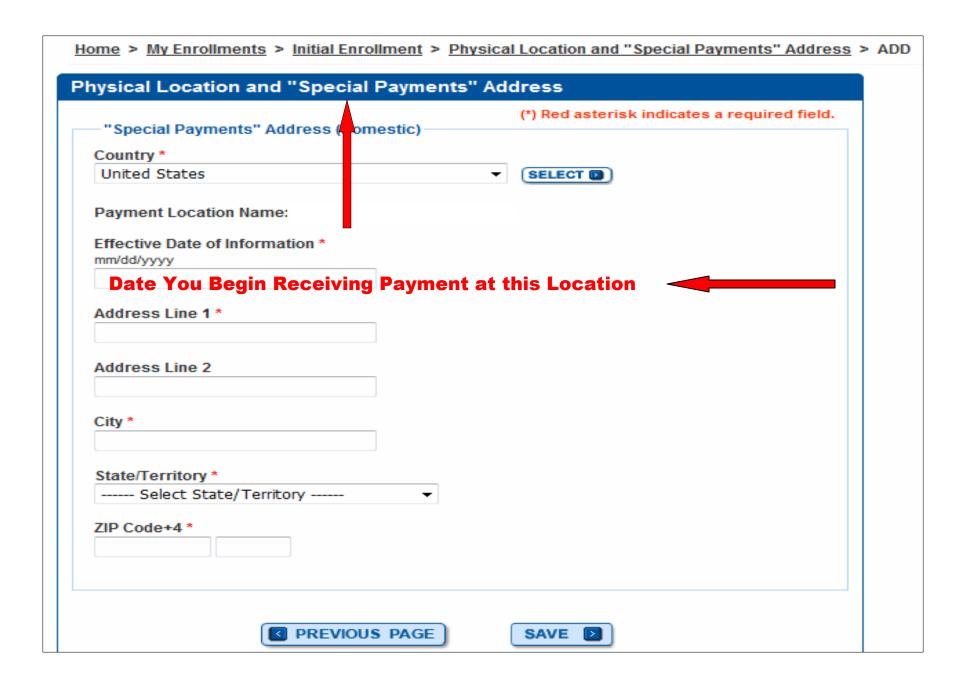
☑ PREVIOUS PAGE

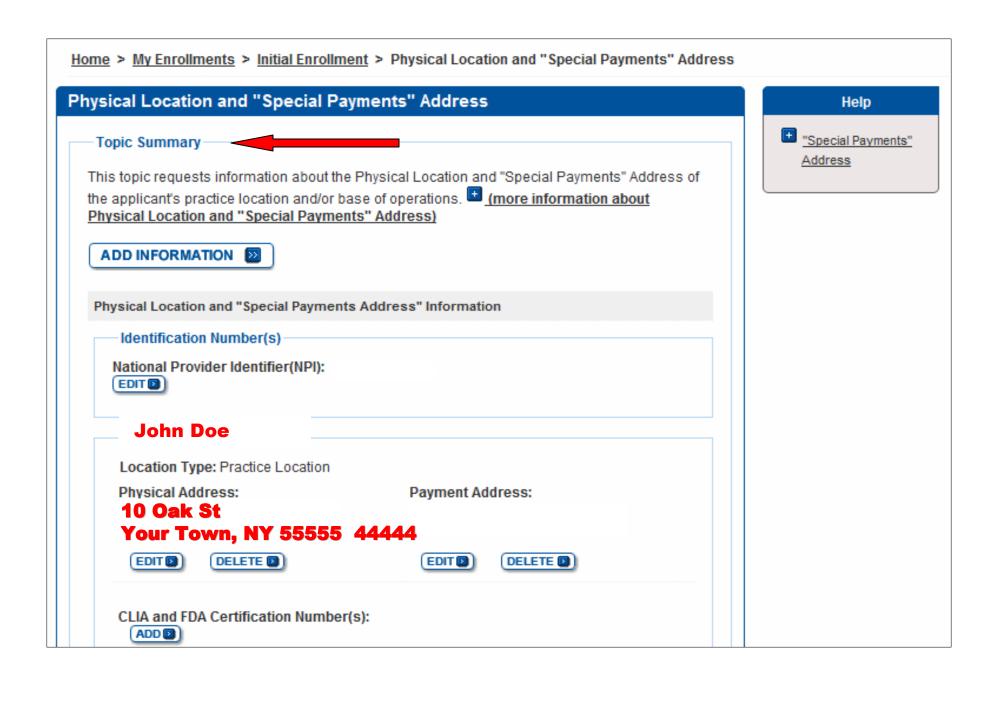
# Home > My Enrollments > Initial Enrollment > Physical Location and "Special Payments" Address > ADD Physical Location and "Special Payments" Address Help Clinical Laboratory CLIA Numbers Improvement Please provide any CLIA numbers that apply to this physical location. Amendments (CLIA) Number **CLIA Number** ADD MORE Note: Use the Add More button to add more than one CLIA number. PREVIOUS PAGE NEXT PAGE [3]

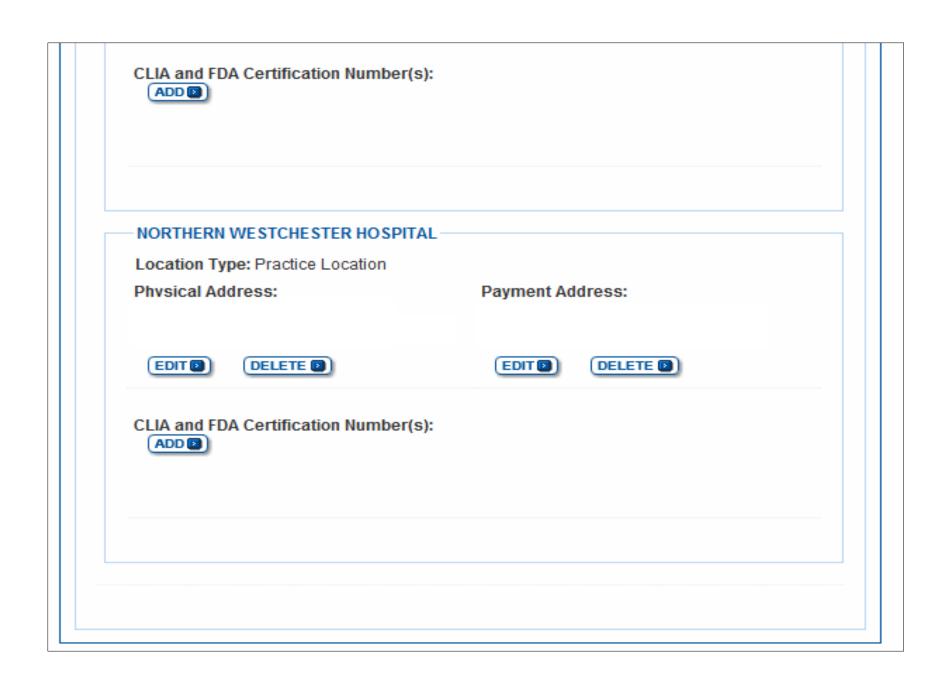
# Home > My Enrollments > Initial Enrollment > Physical Location and "Special Payments" Address > ADD Physical Location and "Special Payments" Address Help FDA/Radiology FDA Numbers (Mammography) Please provide any FDA/Radiology (Mammography) Certification numbers that apply to this Certification Number physical location. FDA/Radiology (Mammography) Certification Number ADD MORE Note: Use the Add More button to add more than one FDA/Radiology (Mammography) Certification number. ■ PREVIOUS PAGE NEXT PAGE

## <u>Home > My Enrollments > Initial Enrollment > Physical Location and "Special Payments" Address > ADD</u>









### Home > My Enrollments > Initial Enrollment > Rendering Healthcare Services at a Patient's Home

## Rendering Healthcare Services at the Patient's Home

(\*) Red asterisk indicates a required field.

## **Topic Summary**

This topic requests information about the locations where this applicant renders healthcare services in a patient's home. You may either list your locations individually by the cities or zip codes you service or you may identify the state.

(more information about Rendering Healthcare Services at the Patient's Home)

Does the applicant render health care services in patient's homes?\*

Yes



ADD INFORMATION [23]



Rendering Healthcare Services at the Patient's Home

No locations have been listed. Please answer the question above.

#### Home > My Enrollments > Initial Enrollment > Individual Control

## **Individuals with Managing Control**

(\*) Red asterisk indicates a required field.

#### **Topic Summary**

This topic requests information about individuals with ownership interest in and/or managing control of the applicant.

All managing employees for the practice locations listed on this enrollment must be reported.

(more information about Individuals with Managing Control)

Does the applicant have any individuals having managing control (managing employees) to report? \*





#### ADD INFORMATION [22]

Managing Employees Information

You have indicated that the applicant does not need to report an individual having managing control. Please click the "Next Topic" button or change the answer to the question above.

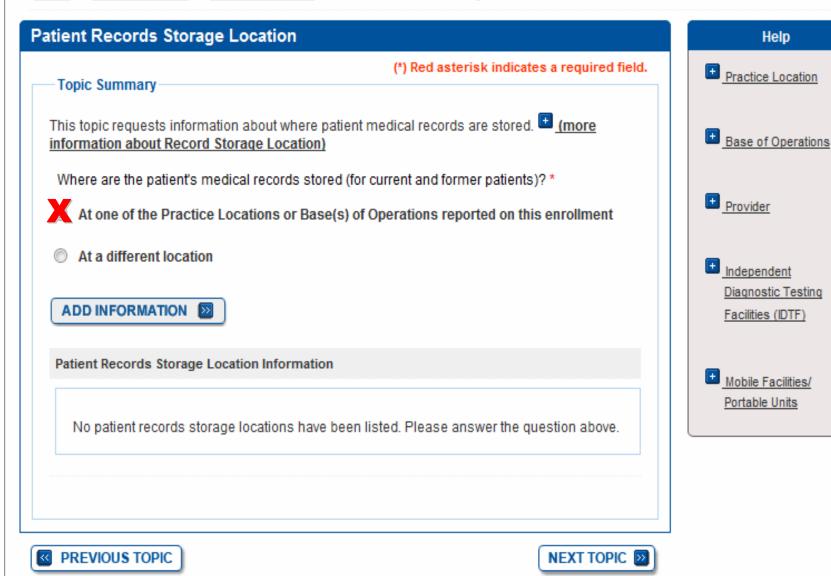
Help

- Limited Partnership
- Five Percent (5%)
  or More Ownership
  Control
- Partner
- Managing Control

PREVIOUS TOPIC

NEXT TOPIC

## Home > My Enrollments > Initial Enrollment > Patient Records Storage Location



## Home > My Enrollments > Initial Enrollment > Contact Information

## **Contact Person**

## **Topic Summary**

The topic requests information about the person or persons that the Medicare contractor should contact if any questions exist about the application. (more information about Contact Person)

## ADD INFORMATION [23]



No contact person has been listed. Please click "Add Information" above.



RETURN TO TOPICS [23]





## **Contact Person**

## Topic Summary

The topic requests information about the person or persons that the Medicare contractor should contact if any questions exist about the application. (more information about Contact Person)

## ADD INFORMATION [23]

## \_

## Contact Person Information

#### XXXXXXXXXXXXXXXXXX

Address: XXXXXXXXXXXXXXXX

XXXXXXXXXXXX NY XXXXX-XXXX

Telephone: (XXX) XXX-XXXX

Fax: (XXX) XXX-XXXX

E-mail Address: XXXX@XXX.com





## Home > My Enrollments > Initial Enrollment

## **Topics for this Enrollment**

## Reason for Application

· Practitioner is Enrolling in Medicare for the First Time

### Topics



The data required for this enrollment application is grouped into topics. In order to electronically submit this enrollment application, you must complete all of the following topics.

You may view and print this enrollment application at any time during the enrollment process by clicking the View and Print button below.

This application is collecting the following topics:

## Completed Topics

- ✓ Personal Information 
   more information about Personal Information
- ✓ Practitioner Specialty 

  → more information about Practitioner Specialty
- ✓ PAR Status Information 

   more information about PAR Status Information
- Rendering Healthcare Services at a Patient's Home
  Rendering Healthcare Services at a Patient's Home
- more information about

~	Practitioner Specialty  more information about Practitioner Specialty
✓	PAR Status Information more information about PAR Status Information
✓	Physical Location and "Special Payments" Address Physical Location and "Special Payments" Address
✓	Rendering Healthcare Services at a Patient's Home Rendering Healthcare Services at a Patient's Home
✓	Resident/Fellow Status more information about Resident/Fellow Status
✓	Correspondence Address more information about Correspondence Address
✓	License and Certification Information  The more information about License and Certification Information
✓	Adverse Legal Actions more information about Adverse Legal Actions
✓	Individual Control more information about Individual Control
✓	Patient Records Storage Location more information about Patient Records Storage Location
✓	Billing Agency more information about Billing Agency
✓	Contact Person more information about Contact Person

## **Submission Process**

#### Submission Process Overview

The following steps must be completed to submit this application:

- Step 1. Error Check: System checks for data errors or inconsistencies.
- Step 2. Select Fee-For-Service Contractor: Additional information is asked to help identify the Medicare Fee-For-Service Contractor who will process this application.
- Step 3. Select Signatories: The individuals required to sign this application will be identified.
- Step 4. Printing and Mailing: Review and print the forms required for or associated with this
  application.
- Step 5. Submit: Submit the application to electronically route it for processing.
- . Step 6. Print Receipt: A receipt of the electronic submission is provided.

Click 'Next Page' to begin the Error Check.

NEXT PAGE \boxed



## **Submission Process: Error Check**

## No Errors or Warnings Exist-

No Errors or Warnings were found for this enrollment application. Please proceed with the submission process.

NEXT PAGE D



## Home > My Enrollments > Initial Enrollment > Submission Process **Medicare Fee-for-Service Contractor** Help (\*) Red asterisk indicates a required field. Fee-for-Service Medicare Fee-For-Service Contractor Selection Contractor Please select a Fee-For-Service Contractor. The Fee-For-Service Contractor will answer the applicant's questions, process the enrollment application, and pay the applicant's claims. Note: It is recommended that the applicant select the Fee-For-Service Contractor of the Chain Home Office. Fee-For-Service Contractor\* NATIONAL GOVERNMENT SERVICES ▼ PREVIOUS PAGE NEXT PAGE [3] CANCEL

## **Submission Process**

Signatory for Individual Enrollment

The following individual practitioner must provide a signature:

John Doe

■ PREVIOUS PAGE

NEXT PAGE 🛭



## Submission Process

### Printing and Mailing Instructions

Each document listed below may be saved to your computer and/or printed for your personal records by clicking the "View and Print" link next to each document. Only the Certification / Authorization Statement(s) and the required supporting documentation must be printed and mailed to the Medicare contractor listed below. Please do not mail a copy of this application to the Medicare contractor if you are submitting it electronically.

- Print Submission Materials: Print all required supporting documents. Click on the "View and Print" link next to "List of Supporting Documentation" below for a list of supporting documentation relevant to this application.
- Mail Items to Fee-For-Service Medicare Contractor: The identified Medicare contractor is
  responsible for processing electronically submitted and mailed materials for this
  enrollment application. In order to complete the processing of your application, mail the
  Certification / Authorization Statement(s) and all required supporting documentation to the
  Medicare contractor listed below within 7 days of your electronic submission. Failure to do
  so may result in a rejection.

NATIONAL GOVERNMENT SERVICES P.O. BOX 4792 SYRACUSE, NY 13221-4792

Action	Document Name
View and Print	Certification Statement for Individual Practitioners
	List of Supporting Documentation
	Copy of this Application (For your records only, please do not mail)
	CMS-460 Medicare Participating Physician or Supplier Agreement

NATIONAL GOVERNMENT SERVICES P.O. BOX 4792 SYRACUSE, NY 13221-4792

Action	Document Name
View and Print	Certification Statement for Individual Practitioners
	List of Supporting Documentation
View and Print	Copy of this Application (For your records only, please do not mail)
	CMS-460 Medicare Participating Physician or Supplier Agreement

#### Note:

- For security reasons, Social Security Numbers and the year of birth in Date of Birth fields will
  not appear on the printed Medicare application. If you plan to mail your printed application to
  the Medicare contractor instead of submitting it electronically, please review the application
  and insert the Social Security Numbers and year of birth where they are required but not
  displayed.
- Documents in PDF format require the Adobe Acrobat Reader®. If you experience
  problems with PDF documents, please Jownload the latest version of the Reader®.

■ PREVIOUS PAGE

NEXT PAGE [2]



# **Supporting Documentation for Individual Practitioners**

- Internet-based PECOS will list the supporting documentation that must be submitted with your signed and dated Certification Statement.
- The Medicare contractor may request, at any time during the enrollment process, documentation to support or validate information reported on the enrollment application.

## **Submission Process**

## **Submit Electronically**

You are now ready to submit this Medicare Application for processing. Please review the summary below to ensure this is the application and reason you wish to submit. Upon submission, the enrollment information is sent to a fee-for-service contractor for processing. Any corrections to this application must be coordinated through the Medicare contractor.

Applicant Name: John Doe

Tracking ID: 111222333444555

## Reason(s) for submission:

 A Medicare Part B practitioner is enrolling in the Medicare program for the first time to bill for Part B services. A reassignment of benefits may exist. Help

Fee-for-Service
Contractor

Tracking ID



SUBMIT \boxed

## **Submission Receipt**

## Submission Complete

You have successfully submitted your enrollment!

#### Remember:

- You must have all certification statements and other documents requiring a signature signed by the individual displayed on each printed form
- You must mail all signed forms and supporting documentation to your Fee-For-Service contractor. An enrollment application cannot be fully processed until all these items have been received
- · You should print this page for your records
- You may print additional copies of an enrollment, certification statement, or list of supporting documentation (these documents can be accessed from the My Enrollments page)

## **Enrollment Tracking Information**

Applicant Name:XXXX XXXXXXXX

Submitted Date: XX - JUNE - 2009

Submitted By: XXXXXX XXXXXXX

Contact Email(s): xxxxxx@xxx.com

## Enrollment Tracking Information —

Applicant Name: XXXXX XXXXXXX

Submitted Date: XX - JUNE - 2009

Submitted By: XXXXXXX XXXXXX

Contact Email(s):

xxxxxxx@xxxxxx.com

#### Reason(s) for submission:

 A Medicare Part B practitioner is enrolling in the Medicare program for the first time to bill for Part B services. A reassignment of benefits may exist.

## Medicare Contractor(s)

Medicare Contractor(s): The identified contractors are responsible for processing electronically submitted and mailed materials for this enrollment application. If you have more than one contractor, you will need to submit all certification statements and supporting documentation to each contractor.

NATIONAL GOVERNMENT SERVICES P.O. BOX 4792 SYRACUSE NY 13221-4792



MY ENROLLMENTS [33]



## **Final Step**

• Print, sign and date the two-page Certification Statement and mail it along with all requested supporting documentation to the Medicare contractor. Note: you will need to sign the two-page Certification Statement for each application submitted. Thus, if you complete an initial application and a reassignment of benefits, you will need to print, sign, and date two separate Certification Statements.

*Note*: Do <u>not</u> mail the CMS-855 enrollment application that can be printed from Internet-based PECOS.

Retain this information for your records.